

**§ 648.3 What activities may the Secretary fund?**

(a) The Secretary awards grants to institutions of higher education to fund fellowships in one or more areas of national need.

(b)(1) For the purposes of this part, the Secretary designates areas of national need from the academic areas listed in the appendix to this part or from the resulting inter-disciplines.

(2) The Secretary announces these areas of national need in a notice published in the FEDERAL REGISTER.

(Authority: 20 U.S.C. 1135, 1135a)

**§ 648.4 What is included in the grant?**

Each grant awarded by the Secretary consists of the following:

(a) The stipends paid by the Secretary through the institution of higher education to fellows. The stipend provides an allowance to a fellow for the fellow's (and his or her dependents') subsistence and other expenses.

(b) The institutional payments paid by the Secretary to the institution of higher education to be applied against each fellow's tuition, fees, and the costs listed in § 648.62(b).

(Authority: 20 U.S.C. 1135c, 1135d)

**§ 648.5 What is the amount of a grant?**

(a) The amount of a grant to an academic department may not be less than \$100,000 and may not be more than \$750,000 in a fiscal year.

(b) In any fiscal year, no academic department may receive more than \$750,000 as an aggregate total of new and continuing grants.

(Authority: 20 U.S.C. 1135)

**§ 648.6 What is the duration of a grant?**

The duration of a grant awarded under this part is a maximum of three annual budget periods during a three-year (36-month) project period.

(Authority: 20 U.S.C. 1135)

**§ 648.7 What is the institutional matching contribution?**

An institution shall provide, from non-Federal funds, an institutional matching contribution equal to at least 25 percent of the amount of the

grant received under this part, for the uses indicated in § 648.63.

(Authority: 20 U.S.C. 1135b, 1135c)

**§ 648.8 What regulations apply?**

The following regulations apply to this program:

(a) The Education Department General Administrative Regulations (EDGAR) as follows:

(1) 34 CFR part 74 (Administration of Grants to Institutions of Higher Education, Hospitals, and Nonprofit Organizations).

(2) 34 CFR part 75 (Direct Grant Programs).

(3) 34 CFR part 77 (Definitions that Apply to Department Regulations).

(4) 34 CFR part 79 (Intergovernmental Review of Department of Education Programs and Activities).

(5) 34 CFR part 82 (New Restrictions on Lobbying).

(6) 34 CFR part 85 (Governmentwide Debarment and Suspension (Non-procurement) and Governmentwide Requirements for Drug-Free Workplace (Grants)).

(7) 34 CFR part 86 (Drug-Free Schools and Campuses).

(b) The regulations in this part.

(Authority: 20 U.S.C. 1135)

**§ 648.9 What definitions apply?**

(a) *Definitions in EDGAR.* The following terms used in this part are defined in 34 CFR 77.1:

Applicant  
Application  
Award  
Budget  
Budget period  
Department  
EDGAR  
Equipment  
Grant  
Nonprofit  
Project period  
Secretary  
Supplies

(b) *Other definitions.* The following definitions also apply to this part:

*Academic department* means any department, program, unit, or any other administrative subdivision of an institution of higher education that—

(i) Directly administers or supervises post-baccalaureate instruction in a specific discipline; and

(ii) Has the authority to award academic course credit acceptable to meet degree requirements at an institution of higher education.

*Academic field* means an area of study in an academic department within an institution of higher education other than a school or department of divinity.

*Academic year* means the 12-month period commencing with the fall instructional term of the institution.

*Application period* means the period in which the Secretary solicits applications for this program.

*Discipline* means a branch of instruction or learning.

*Eligible non-degree granting institution* means any institution that—

(i) Conducts post-baccalaureate academic programs of study but does not award doctoral degrees in an area of national need;

(ii) Is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from tax under section 501(a) of the Code;

(iii) Is organized and operated substantially to conduct scientific and cultural research and graduate training programs;

(iv) Is not a private foundation;

(v) Has academic personnel for instruction and counseling who meet the standards of the institution of higher education in which the students are enrolled; and

(vi) Has necessary research resources not otherwise readily available in the institutions in which students are enrolled.

*Fees* mean non-refundable charges paid by a graduate student for services, materials, and supplies that are not included within the tuition charged by the institution in which the student is enrolled.

*Fellow* means a recipient of a fellowship under this part.

*Fellowship* means an award made by an institution of higher education to an individual for graduate study under this part at the institution of higher education.

*Financial need* means the fellow's financial need as determined under title IV, part F, of the HEA for the period of the fellow's enrollment in the approved

academic field of study for which the fellowship was awarded.

*General operational overhead* means non-instructional expenses incurred by an academic department in the normal administration and conduct of its academic program, including the costs of supervision, recruitment, capital outlay, debt service, indirect costs, or any other costs not included in the determination of tuition and non-refundable fee charges.

*Graduate student* means an individual enrolled in a program of post-baccalaureate study at an institution of higher education.

*Graduate study* means any program of postbaccalaureate study at an institution of higher education.

*HEA* means the Higher Education Act of 1965, as amended.

*Highest possible degree available* means a doctorate in an academic field or a master's degree, professional degree, or other post-baccalaureate degree if a doctorate is not available in that academic field.

*Institution of higher education (Institution)* means an institution of higher education, other than a school or department of divinity, as defined in section 1201(a) of the HEA.

*Inter-discipline* means a course of study that involves academic fields in two or more disciplines.

*Minority* means Alaskan Native, American Indian, Asian-American, Black (African-American), Hispanic American, Native Hawaiian, or Pacific Islander.

*Multi-disciplinary application* means an application that requests fellowships for more than a single academic department in areas of national need designated as priorities by the Secretary under this part.

*Project* means the activities necessary to assist, whether from grant funds or institutional resources, fellows in the successful completion of their designated educational programs.

*Satisfactory progress* means that a fellow meets or exceeds the institution's criteria and standards established for a graduate student's continued status as an applicant for the graduate degree in the academic field for which the fellowship was awarded.

*School or department of divinity* means an institution, or an academic department of an institution, whose program is specifically for the education of students to prepare them to become ministers of religion or to enter into some other religious vocation or to prepare them to teach theological subjects.

*Students from traditionally underrepresented backgrounds* mean women and minorities who traditionally are underrepresented in areas of national need as designated by the Secretary.

*Supervised training* means training provided to fellows under the guidance and direction of faculty in the academic department.

*Tuition* means the charge for instruction by the institution of higher education in which the fellow is enrolled.

*Underrepresented in areas of national need* means proportionate representation as measured by degree recipients, that is less than the proportionate representation in the general population, as indicated by—

(i) The most current edition of the Department's *Digest of Educational Statistics*;

(ii) The National Research Council's *Doctorate Recipients from United States Universities*;

(iii) Other standard statistical references, as announced annually in the FEDERAL REGISTER notice inviting applications for new awards under this program; or

(iv) As documented by national survey data submitted to and accepted by the Secretary on a case-by-case basis.

(Authority: 20 U.S.C. 1135-1135d)

### Subpart B—How Does an Institution of Higher Education Apply for a Grant?

#### § 648.20 How does an institution of higher education apply for a grant?

(a) To apply for a grant under this part, an institution of higher education shall submit an application that responds to the appropriate selection criteria in § 648.31.

(b) In addition, an application for a grant must—

(1) Describe the current academic program for which the grant is sought;

(2) Request a specific number of fellowships to be awarded on a full-time basis for the academic year covered under the grant in each academic field included in the application;

(3) Set forth policies and procedures to ensure that in making fellowship awards under this part the institution will seek talented students from traditionally underrepresented backgrounds;

(4) Set forth policies and procedures to assure that in making fellowship awards under this part the institution will make awards to individuals who satisfy the requirements of § 648.40;

(5) Set forth policies and procedures to ensure that Federal funds made available under this part for any fiscal year will be used to supplement and, to the extent practical, increase the funds that otherwise would be made available for the purposes of this part and, in no case, to supplant those funds;

(6) Provide assurances that the institution will provide the institutional matching contribution described in § 648.7;

(7) Provide assurances that, in the event that funds made available to the academic department under this part are insufficient to provide the assistance due a student under the commitment entered into between the academic department and the student, the academic department will, from any funds available to it, fulfill the commitment to the student;

(8) Provide that the institution will comply with the requirements in subpart F; and

(9) Provide assurances that the academic department will provide at least one year of supervised training in instruction to students receiving fellowships under this program.

(c) In any application period, an academic department may not submit more than one application for new awards.

(Approved by the Office of Management and Budget under control number 1840-0604)

(Authority: 20 U.S.C. 1135b)

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